

HAND DELIVERED

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**
**FORM A** Page 1 of 6  
 For use by Members, officers, and employees

 Colleen Hanabusa  
 (Full Name)

 2022252726  
 (Daytime Telephone)

MAY 12 PM 2:59

 OFFICIAL USE ONLY  
 U.S. HOUSE OF REPRESENTATIVES

**Filer Status**
☒ Member of the U.S. House of Representatives  
 State: HI  
 District: 01

☐ Officer Or Employee  
 Employing Office:

**A \$200 penalty shall be assessed against anyone who files more than 30 days late.**
**Report Type**
☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

|  |  |
|--|--|
| <b>I.</b> Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?<br>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/><br>If yes, complete and attach Schedule I.   | <b>VI.</b> Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)?<br>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/><br>If yes, complete and attach Schedule VI.             |
| <b>II.</b> Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?<br>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/><br>If yes, complete and attach Schedule II.  | <b>VII.</b> Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)?<br>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/><br>If yes, complete and attach Schedule VII. |
| <b>III.</b> Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?<br>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/><br>If yes, complete and attach Schedule III. | <b>VIII.</b> Did you hold any reportable positions on or before the date of filing in the current calendar year?<br>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/><br>If yes, complete and attach Schedule VIII.  |
| <b>IV.</b> Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?<br>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/><br>If yes, complete and attach Schedule IV.  | <b>IX.</b> Did you have any reportable agreement or arrangement with an outside entity?<br>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/><br>If yes, complete and attach Schedule IX.   |
| <b>V.</b> Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?<br>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/><br>If yes, complete and attach Schedule V.   | Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.   |

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

|                     |  |   |
|---------------------|--|---|
| <b>Trusts-</b>      | Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?                  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| <b>Exemptions--</b> | Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

**SCHEDULE I - EARNED INCOME**

Name Colleen Hanabusa

Page 2 of 6

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

| Source   | Type                | Amount    |
|--|---------------------|-----------|
| State of Hawaii, Honolulu, HI                                      | Legislative Salary  | \$47,092  |
| Colleen Hanabusa LLC, Honolulu HI (Law firm closed as of 12/31/10) | Gross Income        | \$103,243 |
| State of Hawaii Employee Retirement System                         | Spouse's Retirement | N/A       |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Colleen Hanabusa

Page 3 of 6

**BLOCK A**
**Asset and/or Income Source**

Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or

**BLOCK B**
**Year-End Value of Asset**

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."

**BLOCK C**
**Type of Income**

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.

**BLOCK D**
**Amount of Income**

For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.

**BLOCK E**
**Transaction**

Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

|    |  |                       |          |                   |  |
|----|--|-----------------------|----------|-------------------|--|
| SP | Central Pacific Bank Account, Honolulu, HI                 | \$250,001 - \$500,000 | INTEREST | \$1,001 - \$2,500 |  |
| JT | Central Pacific Checking Account, Honolulu, HI             | \$1 - \$1,000         | INTEREST | \$1 - \$200       |  |
| JT | Central Pacific Savings Account, Honolulu, HI              | \$1,001 - \$15,000    | INTEREST | \$1 - \$200       |  |
| SP | First Hawaiian Bank Account, Honolulu, HI IRA Money Market | \$100,001 - \$250,000 | INTEREST | \$2,501 - \$5,000 |  |
| SP | First Hawaiian Bank Checking Account, Honolulu, Hawaii     | \$15,001 - \$50,000   | None     | NONE              |  |
| SP | First Hawaiian Bank IRA Money Market, Honolulu, HI         | \$50,001 - \$100,000  | INTEREST | \$1,001 - \$2,500 |  |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Colleen Hanabusa

Page 4 of 6

|    |   |                       |                         |                         |  |
|----|---|-----------------------|-------------------------|-------------------------|--|
| SP | First Hawaiian Bank Savings - cash - Honolulu, HI                               | \$100,001 - \$250,000 | INTEREST                | \$1 - \$200             |  |
| SP | First Hawaiian Bank SEP/IRA Money Market, Honolulu, HI                          | \$15,001 - \$50,000   | None                    | NONE                    |  |
|    | Hanabusa Limited Partnership land: 85-923 Farrington Hwy, Waianae, Hawaii (16%) | \$15,001 - \$50,000   | None                    | NONE                    |  |
|    | Lenakona Development, Ltd. Class A&B stock - closed corp.                       | \$15,001 - \$50,000   | DIVIDENDS/CAPITAL GAINS | \$1 - \$200             |  |
| SP | Pueo Enterprises LLC (truck/equipment and real estate rentals) Honolulu, HI     | \$250,001 - \$500,000 | RENT                    | \$100,001 - \$1,000,000 |  |
| SP | Pueo Enterprises LLC land: 92-414 Farrington Hwy, Kapolei, Hawaii               | \$250,001 - \$500,000 | None                    | NONE                    |  |
| SP | Pueo Trucking LLC, Honolulu, HI   | \$250,001 - \$500,000 | Note Receivable         | \$100,001 - \$1,000,000 |  |

## SCHEDULE V - LIABILITIES

Name Colleen Hanabusa

Page 5 of 6

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

| SP,<br>DC,<br>JT | Creditor                           | Date<br>Liability<br>Incurred | Type of Liability       | Amount of Liability   |
|------------------|------------------------------------|-------------------------------|-------------------------|-----------------------|
|                  | Central Pacific Bank, Honolulu, HI |                               | Personal Line of Credit | \$100,001 - \$250,000 |

**SCHEDULE VIII - POSITIONS**

Name Colleen Hanabusa

Page 6 of 6

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

| Position           | Name of Organization  |
|--------------------|---|
| Member Manager     | Colleen Hanabusa LLC (Law Firm)   |
| Limited Partner    | Hanabusa Limited Partnership  |
| President/Director | Hanabusa, Inc. (Managing Entity - Hanabusa Limited Partnership - uncompensated) |
| President/Director | CRC, Inc., Honolulu, HI - closed 5/03   |

COLLEEN W. HANABUSA  
1ST DISTRICT, HAWAII

238 CANNON HOUSE OFFICE BUILDING  
WASHINGTON, DC 20515  
TELEPHONE: 202-225-2726  
FAX: 202-225-0688

300 ALA MOANA BLVD.  
ROOM 4-104  
HONOLULU, HI 96850  
TELEPHONE: 808-541-2570  
FAX: 808-533-0133

hanabusa.house.gov



## Congress of the United States House of Representatives

Washington, DC 20515-1101

COMMITTEE ON  
ARMED SERVICES  
SUBCOMMITTEE:  
READINESS  
OVERSIGHT AND INVESTIGATIONS  
COMMITTEE ON  
NATURAL RESOURCES  
SUBCOMMITTEE:  
INDIAN AND ALASKA NATIVE AFFAIRS

**HAND DELIVERED**

LEGISLATIVE RESOURCE CENTER

2011 JUN -1 PM 4:48

OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

June 1, 2011

The Honorable Lorraine Miller  
Clerk of the U.S. House of Representatives  
B-106 Cannon HOB  
Washington, DC 20515

To the Clerk of the House:

To clarify two questions raised from my 2010 Financial Disclosure Form:

Schedule V personal line of credit, reported on both my 2009 and 2010 Financial Disclosure forms, was first incurred in September, 2006.

Two of the real property holdings that comprise Hanabusa Limited Partnership were inadvertently left off of my 2010 form, Schedule III. There are no changes from what was reported in December, 2010:

85-915 Farrington Highway  
Waianae, Hawai'i 96792

85-909 Farrington Highway  
Waianae, Hawai'i 96792

I believe this answers all of your questions.

Sincerely,

Colleen Hanabusa

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**

**FORM A** Page 1 of 13  
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER

2011 MAY 16 AM 11:51

MAZIE K. HIRONO  
(Full Name)

808-732-1959  
(Daytime Telephone)

**HAND DELIVERED**

(Office Use Only)

MC

**Filer Status** ☒ Member of the U.S. House of Representatives State: HI District: 02  
**Report Type** ☒ Annual (May 15) ☐ Amendment ☐ Termination

Officer Or Employee  
Employing Office:

Termination Date:

**A \$200 penalty shall be assessed against anyone who files more than 30 days late.**

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

|  |  |
|--|--|
| <p><b>I.</b> Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/><br/> If yes, complete and attach Schedule I.</p> <p><b>II.</b> Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/><br/> If yes, complete and attach Schedule II.</p> <p><b>III.</b> Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/><br/> If yes, complete and attach Schedule III.</p> <p><b>IV.</b> Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/><br/> If yes, complete and attach Schedule IV.</p> <p><b>V.</b> Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/><br/> If yes, complete and attach Schedule V.</p> | <p><b>VI.</b> Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/><br/> If yes, complete and attach Schedule VI.</p> <p><b>VII.</b> Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/><br/> If yes, complete and attach Schedule VII.</p> <p><b>VIII.</b> Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/><br/> If yes, complete and attach Schedule VIII.</p> <p><b>IX.</b> Did you have any reportable agreement or arrangement with an outside entity? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/><br/> If yes, complete and attach Schedule IX.</p> |
|--|--|

**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

|  |
|--|
| <p><b>Trusts--</b> Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "accepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p><b>Exemptions--</b> Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> |
|--|



**SCHEDULE I - EARNED INCOME**

Name MAZIE K. HIRONO

Page 2 of 13

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

| Source  | Type          | Amount   |
|---|---------------|----------|
| WONG & OSHIMA ATTYS AT LAW                    | SPOUSE SALARY | N/A      |
| STATE OF HAWAII EMPLOYEE<br>RETIREMENT SYSTEM | STATE PENSION | \$44,707 |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name MAZIE K. HIRONO

Page 3 of 13

| <b>BLOCK A</b><br><br><b>Asset and/or Income Source</b><br>Identify (e) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.<br><br>Provide complete names of stocks and mutual funds (do not use ticker symbols.)<br><br>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.<br><br>For rental or other real property held for investment, provide a complete address.<br><br>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.<br><br>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or | <b>BLOCK B</b><br><br><b>Year-End Value of Asset</b><br><br>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None." | <b>BLOCK C</b><br><br><b>Type of Income</b><br><br>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period. | <b>BLOCK D</b><br><br><b>Amount of Income</b><br><br>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated. | <b>BLOCK E</b><br><br><b>Transaction</b><br><br>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year. |
|--|---|---|--|---|
| JT 1122 ELM ST. #204<br>HONOLULU, HI<br><br>ALOHA PACIFIC FCU  | \$250,001 - \$500,000<br><br>\$1,001 - \$15,000   | RENT<br><br>INTEREST  | \$5,001 - \$15,000<br><br>\$1 - \$200  |   |
| JT AMCAP FUND A<br><br>SP ARTIO GLOBAL INVT FUNDS<br>TOTAL RETURN BOND CL I (IRA)  | \$15,001 - \$50,000<br><br>\$1,001 - \$15,000   | DIVIDENDS<br><br>None   | \$1 - \$200<br><br>NONE  | P   |
| JT BANK OF THE ORIENT  | \$1,001 - \$15,000  | INTEREST  | \$1 - \$200  |   |
| JT BOND FUND OF AMERICA A  | \$15,001 - \$50,000   | DIVIDENDS   | \$201 - \$1,000  |   |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name MAZIE K. HIRONO

Page 4 of 13

|    |  |                          |               |                   |   |
|----|--|--------------------------|---------------|-------------------|---|
| JT | CAPITAL INCOME BUILDER A                         | \$100,001 -<br>\$250,000 | DIVIDENDS     | \$2,501 - \$5,000 | P |
| JT | CAPITAL WORLD GROWTH<br>& INC FUND A             | \$100,001 -<br>\$250,000 | DIVIDENDS     | \$2,501 - \$5,000 | P |
|    | CAPITOL INCOME BUILDER<br>A (IRA)                | \$15,001 -<br>\$50,000   | None          | NONE              | P |
| SP | COLUMBIA FUNDS<br>MARISCO 21ST CTRY A (IRA)      | \$1,001 -<br>\$15,000    | None          | NONE              | P |
|    | CONGRESSIONAL FED<br>CREDIT UNION                | \$15,001 -<br>\$50,000   | None          | NONE              |   |
| SP | E V INCOME FUND OF<br>BOSTON (IRA)               | None                     | CAPITAL GAINS | \$1,001 - \$2,500 | S |
| SP | FEDERATED STRATEGIC<br>VALUE DIV FUND (IRA)      | \$1,001 -<br>\$15,000    | None          | NONE              | P |
| JT | GOLDMAN SACHS MID CAP<br>VALUE FUND (401K)       | \$1,001 -<br>\$15,000    | None          | NONE              | P |
| SP | HARTFORD MUTUAL FUNDS<br>CAP APPREC FUND A (IRA) | \$1,001 -<br>\$15,000    | None          | NONE              | P |
| JT | HAWAII STATE FEDERAL<br>CREDIT UNION             | \$50,001 -<br>\$100,000  | INTEREST      | \$1 - \$200       |   |
| JT | INCOME FUND OF AMERICA<br>A                      | \$50,001 -<br>\$100,000  | DIVIDENDS     | \$2,501 - \$5,000 | P |
| JT | INVESTMENT CO OF<br>AMERICA A                    | \$50,001 -<br>\$100,000  | DIVIDENDS     | \$1,001 - \$2,500 | P |
| SP | IVY FUNDS - ASSET<br>STRATEGY CL I (IRA)         | \$15,001 -<br>\$50,000   | None          | NONE              | P |
| SP | MS INC EQUITY GROWTH<br>(IRA)                    | None                     | CAPITAL GAINS | \$201 - \$1,000   | S |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name MAZIE K. HIRONO

Page 5 of 13

|    |   |                            |         |      |   |
|----|---|----------------------------|---------|------|---|
| SP | NEW PERSPECTIVE FUND<br>CL I (IRA)                  | \$15,001 -<br>\$50,000     | None    | NONE | P |
| SP | OPPENHEIMER GLOBAL<br>(401K)                        | \$1,001 -<br>\$15,000      | None    | NONE |   |
| SP | PIMCO - PAC INVEST DIV<br>INC FD INSTL (IRA)        | \$1,001 -<br>\$15,000      | None    | NONE | P |
| SP | PIMCO - PAC INVEST MGT -<br>TOTAL RETURN (IRA)      | \$1,001 -<br>\$15,000      | None    | NONE | P |
| SP | PIMCO REAL RETURN BOND<br>(401K)                    | \$1,001 -<br>\$15,000      | None    | NONE | P |
|    | STATE OF HAWAII<br>EMPLOYEE RETIREMENT<br>SYS       | \$500,001 -<br>\$1,000,000 | PENSION | NA   |   |
| SP | T ROWE PRICE BLUE CHIP<br>GROWTH (401K)             | \$15,001 -<br>\$50,000     | None    | NONE | P |
| SP | T ROWE PRICE EQUITY<br>INCOME (401K)                | \$15,001 -<br>\$50,000     | None    | NONE | P |
| SP | T ROWE PRICE MID CAP<br>GROWTH (401K)               | \$1,001 -<br>\$15,000      | None    | NONE | P |
| SP | T ROWE PRICE NEW ERA<br>(401K)                      | \$1,001 -<br>\$15,000      | None    | NONE | P |
| SP | T ROWE PRICE PERSONAL<br>STRATEGY BAL (401K)        | \$50,001 -<br>\$100,000    | None    | NONE | P |
| SP | T ROWE PRICE PRIME<br>RESERVE (401K) (MONEY<br>MKT) | \$50,001 -<br>\$100,000    | None    | NONE |   |
| SP | T ROWE PRICE SMALL CAP<br>VALUE (401K)              | \$1,001 -<br>\$15,000      | None    | NONE | P |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name MAZIE K. HIRONO

Page 6 of 13

|    |  |                          |               |                   |   |
|----|--|--------------------------|---------------|-------------------|---|
| SP | THORNBURG INVESTMENT<br>GLOBAL OPPORTUNITIES CL<br>I (IRA) | \$1,001 -<br>\$15,000    | None          | NONE              | P |
| SP | WELLS FARGO<br>ADVANTAGE - ASSET ALLOC<br>FD (IRA)         | \$15,001 -<br>\$50,000   | None          | NONE              | P |
| SP | WELLS FARGO LIQUID<br>ASSET FUND                           | \$100,001 -<br>\$250,000 | DIVIDENDS     | \$1 - \$200       |   |
| SP | WESTERN ASSET CORE<br>(IRA)                                | None                     | CAPITAL GAINS | \$1,001 - \$2,500 | S |
| SP | WONG & OSHIMA<br>AAL (OWNERSHIP<br>SHARE)                  | None                     | None          | NONE              |   |

# SCHEDULE IV - TRANSACTIONS

Name MAZIE K. HIRONO

Page 7 of 13

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP,<br>DC,<br>JT | Asset  | Type of<br>Transaction | Capital<br>Gain in<br>Excess<br>of \$200? | Date                                 | Amount of Transaction |
|------------------|--|------------------------|---|--------------------------------------|-----------------------|
| SP               | ARTIO GLOBAL INVT FUNDS<br>TOTAL RETURN BOND CL I<br>(IRA) | P                      | N/A                                       | 1-25-10<br>DIV REINVEST              | \$1,001 - \$15,000    |
|                  | CAPITAL INCOME BUILDER (IRA)                               | P                      | N/A                                       | DIV REINVEST                         | \$1,001 - \$15,000    |
| JT               | CAPITAL INCOME BUILDER A                                   | P                      | N/A                                       | MONTHLY &<br>REINVEST<br>DIVIDENDS   | \$1,001 - \$15,000    |
| JT               | CAPITAL WORLD GROWTH & INC FUND A                          | P                      | N/A                                       | MONTHLY &<br>REINVEST<br>DIVIDENDS   | \$1,001 - \$15,000    |
| SP               | COLUMBIA FUNDS<br>MARISCO 21ST CTRY A (IRA)                | P                      | N/A                                       | 1-25-10                              | \$1,001 - \$15,000    |
| SP               | E V INCOME FUND OF BOSTON (IRA)                            | S                      | Yes                                       | 1-13-10                              | \$1,001 - \$15,000    |
| SP               | FEDERATED STRATEGIC VALUE DIV<br>FUND (IRA)                | P                      | N/A                                       | 1-25-10<br>DIV REINVEST              | \$1,001 - \$15,000    |
| SP               | GOLDMAN SACHS MID CAP VALUE A<br>(401K)                    | P                      | N/A                                       | 3 PURCH<br>MONTHLY &<br>DIV REINVEST | \$1,001 - \$15,000    |
| SP               | HARTFORD MUTUAL FUNDS<br>CAP APPREC FUND A (IRA)           | P                      | N/A                                       | 1-25-10                              | \$1,001 - \$15,000    |
| JT               | INCOME FUND OF AMERICA A                                   | P                      | N/A                                       | MONTHLY<br>& REINVEST<br>DIVIDENDS   | \$1,001 - \$15,000    |

# SCHEDULE IV - TRANSACTIONS

Name MAZIE K. HIRONO

Page 8 of 13

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP,<br>DC,<br>JT | Asset  | Type of<br>Transaction | Capital<br>Gain In<br>Excess<br>of \$200? | Date                               | Amount of Transaction |
|------------------|--|------------------------|---|------------------------------------|-----------------------|
| JT               | INVESTMENT COMPANY OF AMERICA                  | P                      | N/A                                       | MONTHLY &<br>REINVEST<br>DIVIDENDS | \$1,001 - \$15,000    |
| SP               | IVY FUNDS - ASSET STRATEGY CL I (IRA)          | P                      | N/A                                       | 1-25-10<br>DIV REINVEST            | \$15,001 - \$50,000   |
| SP               | MS FIXED INC (IRA)                             | S                      | No  | 1-4-10                             | \$1,001 - \$15,000    |
| SP               | MS INC EQUITY GROWTH (IRA)                     | S                      | Yes                                       | 1-4-10                             | \$15,001 - \$50,000   |
| SP               | MS INTL VALUE EQUITY FUND D (IRA)              | S                      | No  | 1-13-10                            | \$15,001 - \$50,000   |
| SP               | MS MID CAP VALUE FUND D (IRA)                  | S                      | No  | 1-13-10                            | \$1,001 - \$15,000    |
| SP               | MSIF TR LTD DURATION INST (IRA)                | S                      | No  | 1-13-10                            | \$15,001 - \$50,000   |
| SP               | MSIF US REAL ESTATE PORT (IRA)                 | S                      | No  | 1-13-10                            | \$1,001 - \$15,000    |
| SP               | NEW PERSPECTIVE FUND<br>CL I (IRA)             | P                      | N/A                                       | 1-25-10<br>DIV REINVEST            | \$15,001 - \$50,000   |
| SP               | PIMCO - PAC INVEST DIV INC FD INSTL<br>(IRA)   | P                      | N/A                                       | 1-25-10<br>DIV REINVEST            | \$1,001 - \$15,000    |
| SP               | PIMCO - PAC INVEST MGT - TOTAL<br>RETURN (IRA) | P                      | N/A                                       | 1-25-10<br>DIV REINVEST            | \$1,001 - \$15,000    |

# SCHEDULE IV - TRANSACTIONS

Name MAZIE K. HIRONO

Page 9 of 13

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP,<br>DC,<br>JT | Asset                                    | Type of<br>Transaction | Capital<br>Gain In<br>Excess<br>of \$200? | Date  | Amount of Transaction |
|------------------|--|------------------------|---|---|-----------------------|
| SP               | PIMCO REAL RETURN BOND (401K)            | P                      | N/A                                       | 5-6-10<br>11-23-10<br>DIV REINVEST                | \$1,001 - \$15,000    |
| SP               | SMALL CAP VALUE IN SHS (IRA)             | S                      | No  | 1-13-10   | \$1,001 - \$15,000    |
| SP               | T ROWE PRICE BLUE CHIP GROWTH<br>(401K)  | P                      | N/A                                       | 2-22-10<br>5-6-10<br>MONTHLY<br>& DIV<br>REINVEST | \$1,001 - \$15,000    |
| SP               | T ROWE PRICE EQUITY INCOME (401K)        | P                      | N/A                                       | 2-22-10<br>5-6-10<br>MONTHLY<br>& DIV<br>REINVEST | \$1,001 - \$15,000    |
| SP               | T ROWE PRICE MID CAP<br>GROWTH (401K)    | P                      | N/A                                       | 3-17-10<br>5-6-10<br>5-25-10<br>DIV REINVEST      | \$1,001 - \$15,000    |
| SP               | T ROWE PRICE NEW ERA (401K)              | P                      | N/A                                       | 5-25-10<br>DIV REINVEST                           | \$1,001 - \$15,000    |
| SP               | T ROWE PRICE PERS STRATEGY BAL<br>(401K) | P                      | N/A                                       | 4 PURCH<br>MONTHLY &<br>DIV REINVEST              | \$15,001 - \$50,000   |
| SP               | T ROWE PRICE SMALL CAP<br>VALUE (401K)   | P                      | N/A                                       | 2 PURCH<br>MONTHLY &<br>DIV REINVEST              | \$1,001 - \$15,000    |



# SCHEDULE IV - TRANSACTIONS

Name MAZIE K. HIRONO

Page 10 of 13

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP,<br>DC,<br>JT | Asset   | Type of<br>Transaction | Capital<br>Gain in<br>Excess<br>of \$200? | Date                    | Amount of Transaction |
|------------------|---|------------------------|---|-------------------------|-----------------------|
| SP               | THORNBURG INVESTMENT<br>GLOBAL OPPORTUNITIES CL I (IRA) | P                      | N/A                                       | 1-25-10<br>DIV REINVEST | \$1,001 - \$15,000    |
| SP               | VAN KAMPEN COMSTOCK (IRA)                               | S                      | No  | 1-13-10                 | \$15,001 - \$50,000   |
| SP               | WELLS FARGO ADVANTAGE - ASSET<br>ALLOC FD (IRA)         | P                      | N/A                                       | 1-25-10                 | \$15,001 - \$50,000   |
| SP               | WESTERN ASSET CORE (IRA)                                | S                      | Yes                                       | 1-13-10                 | \$1,001 - \$15,000    |

**SCHEDULE V - LIABILITIES**

Name MAZIE K. HIRONO

Page 11 of 13

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

| SP,<br>DC,<br>JT | Creditor            | Date<br>Liability<br>Incurred | Type of Liability                              | Amount of Liability  |
|------------------|---------------------|-------------------------------|--|----------------------|
| JT               | TERRITORIAL SAVINGS | Sept 2003                     | MORTGAGE ON 1122 ELM<br>ST. #204, HONOLULU, HI | \$50,001 - \$100,000 |

**SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name MAZIE K. HIRONO

Page 12 of 13

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

| Source          | Date(s)    | Point of Departure--<br>Destination--Point of Return | Lodging?<br>(Y/N) | Food?<br>(Y/N) | Was a Family<br>Member Included?<br>(Y/N) | Days not at<br>sponsor's<br>expense |
|-----------------|------------|--|-------------------|----------------|---|-------------------------------------|
| Aspen Institute | April 5-11 | Hon-Lisbon-DC  | Y                 | Y              | Y   | None                                |

# SCHEDULE IX - AGREEMENTS

Name MAZIE K. HIRONO

Page 13 of 13

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

| Date          | Parties To      | Terms of Agreement   |
|---------------|-----------------|--|
| December 2002 | State of Hawaii | Pension annuity for service in state government<br>(see also Schedule I) |